

# 11th lecture

## QUANTUM CHROMODYNAMICS

- part 1 -

### QCD Lagrangian

the theory of strong interactions

- quantum chromodynamics or QCD

is similar to spinor electrodynamics (QED),  
except that

- gauge group is not  $U(1)$  but  $SU(3)$  (non-Abelian)

- quarks carry e.m. charge but also color charge

(fundamental rep. of  $SU(3)$ )

- gluons are e.m. neutral but carry color charge

(adjoint repres. of  $SU(3)$ )

→ 6 quark flavors, each in 3 colors, 8 gluon species

- generalize the QED Lagrangian

$$\mathcal{L}_{\text{fermi}}^{\text{QED}} = i \bar{\Psi} \gamma^\mu D_\mu \Psi - m \bar{\Psi} \Psi \quad \text{with } D_\mu = \partial_\mu + ie A_\mu$$

invariant under  $A_\mu \mapsto A_\mu + \partial_\mu \lambda$  &  $\Psi \mapsto e^{-ie\lambda} \Psi \sim U(1)$

- replace electron field  $\Psi$  by quark field  $q_j$ ,  $j=1,2,3$

$SU(3)$  gauge transformation on  $q = \begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix}$  ↑  
Dirac spinor

$$q(x) \mapsto \Omega(x) q(x) \quad \& \quad \bar{q}(x) \mapsto \bar{q}(x) \Omega^\dagger(x)$$

where  $\Omega(x) \in SU(3)$ ,  $q(x)$  in fund. repres. of  $SU(3)$

i.e. unitary  $3 \times 3$  matrix,  $\Omega \Omega^\dagger = \mathbb{1}$ ,  $\det \Omega = 1$

- invariant mass term is simple:  $-m \bar{q} q = -m \bar{q}^j q_j$

- kinetic term: free part must be  $i \bar{q}^j \gamma^\mu \partial_\mu q_j$

to make this locally  $SU(3)$  invariant introduce cov. derivative  
call  $q = \text{strong (color) charge}$

$$\rightarrow (D_\mu)_j^k = \delta_j^k \partial_\mu + g (t_a)_j^k A_\mu^a(x) \quad \begin{array}{l} 3 \times 3 \text{ matrix, } t^a \rightarrow su(3) \\ (j, k=1, 2, 3) \quad (a=1, \dots, 8) \end{array}$$

$$\rightarrow \hat{D}_\mu = \mathbb{1} \partial_\mu + g \hat{A}_\mu, \quad \hat{A}_\mu = t_a A_\mu^a \quad \begin{array}{l} \text{antihermitian} \\ \text{traceless} \\ 3 \times 3 \text{ matrix} \end{array}$$

$\rightarrow \hat{A}_\mu$  lives in  $su(3)$  Lie algebra

- need the gauge transf. of non-Abelian  $\hat{A}_\mu$ :

$$\hat{A}_\mu(x) \mapsto \Omega(x) \left( \hat{A}_\mu(x) + \frac{1}{g} \partial_\mu \right) \Omega^\dagger(x)$$

this makes  $\hat{D}_\mu$  transform as a tensor:

$$\left( \hat{D}_\mu \mapsto \Omega(x) \hat{D}_\mu \Omega^\dagger(x) \right)$$

$$\left( \hat{D}_\mu q(x) \mapsto \Omega(x) \hat{D}_\mu \Omega^\dagger(x) \Omega(x) q(x) = \Omega(x) \hat{D}_\mu q(x) \right) \checkmark$$

check:

$$\begin{aligned} (\mathbb{1} \partial_\mu + g \hat{A}_\mu) q &\mapsto (\mathbb{1} \partial_\mu + g \Omega \hat{A}_\mu \Omega^\dagger + \Omega \partial_\mu \Omega^\dagger) \Omega q \\ &= \Omega (\partial_\mu + g \hat{A}_\mu + \underbrace{\Omega^\dagger (\partial_\mu \Omega) + (\partial_\mu \Omega^\dagger) \Omega}_{= \partial_\mu (\Omega^\dagger \Omega) = 0}) q \\ &= \Omega (\partial_\mu + g \hat{A}_\mu) q \quad \checkmark \end{aligned}$$

- Abelian limit:  $SU(3) \rightarrow U(1)$

$$\Omega \rightarrow e^{-ie\lambda}, \quad g \rightarrow -e, \quad q \rightarrow \psi, \quad \hat{A}_\mu \rightarrow -iA_\mu$$

$$\leadsto \hat{D}_\mu \rightarrow \partial_\mu + ieA_\mu, \quad \Omega q \rightarrow e^{-ie\lambda} \psi, \quad i\Omega(\hat{A}_\mu + \frac{1}{g}\partial_\mu)\Omega^\dagger \rightarrow A_\mu + \partial_\mu\lambda$$

- generalize Maxwell term

$$\mathcal{L}_{\text{Maxwell}}^{\text{QED}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad \text{with}$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu = \frac{1}{ie} [D_\mu, D_\nu]$$

have generalized  $D_\mu \rightarrow \hat{D}_\mu$ , so copy this relation ( $ieA_\mu \rightarrow g\hat{A}_\mu$ )

$$\hat{F}_{\mu\nu} := \frac{1}{g} [\hat{D}_\mu, \hat{D}_\nu] = \partial_\mu \hat{A}_\nu - \partial_\nu \hat{A}_\mu + g [\hat{A}_\mu, \hat{A}_\nu]$$

field strength also takes value in  $SU(3)$  Lie algebra  $\leadsto$

expand in  $SU(3)$  generators  $t_a$ :  $\hat{F}_{\mu\nu} = F_{\mu\nu}^a t_a \leadsto$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c \quad \left| \begin{array}{l} f^{abc} = SU(3) \\ \text{structure constants} \end{array} \right.$$

under gauge transformations:

$$\hat{F}_{\mu\nu} \mapsto \Omega \hat{F}_{\mu\nu} \Omega^\dagger \quad \text{not invariant, but in adjoint representation}$$

but color traces are gauge invariant, such as

$$\mathcal{L}_{\text{gluon}}^{\text{QCD}} = \frac{1}{2} \text{tr} (\hat{F}_{\mu\nu} \hat{F}^{\mu\nu}) = -\frac{1}{4} F_{\mu\nu}^a F^{\mu\nu a} \quad \text{YM 1954}$$

- full QCD Lagrangian density (each  $q_f$  is a triple  $\begin{pmatrix} q_1 \\ q_2 \\ q_3 \end{pmatrix}$  of Dirac spinors)

$$\mathcal{L}^{\text{QCD}} = \frac{1}{2} \text{tr} (\hat{F}_{\mu\nu} \hat{F}^{\mu\nu}) + \sum_{f=1}^6 \left\{ i \bar{q}_f \gamma^\mu (\partial_\mu + g \hat{A}_\mu) q_f - m_f \bar{q}_f q_f \right\}$$

## QCD Feynman rules

- similar to those of spinor QED, but including the  $SU(3)$  generators  $(t_a)_j^k$  & structure constants

$$e \bar{\psi} \gamma^\mu A_\mu \psi \rightarrow g \bar{q}^j \gamma^\mu A_\mu^a (t_a)_j^k q_k \quad f_{abc} = (t_b^{aj})_a^c$$

"quark-gluon vertex"

new feature: 3- & 4-gluon vertex

$$\frac{1}{2} \text{tr} \hat{F}_{\mu\nu} \hat{F}^{\mu\nu} \Rightarrow \text{tr} \left\{ (\partial_\mu \hat{A}_\nu - \partial_\nu \hat{A}_\mu) (\partial^\mu \hat{A}^\nu - \partial^\nu \hat{A}^\mu) + 2g (\partial_\mu \hat{A}_\nu) [\hat{A}^\mu, \hat{A}^\nu] + g^2 [\hat{A}_\mu, \hat{A}_\nu] [\hat{A}^\mu, \hat{A}^\nu] \right\}$$

- These rules suffice for tree diagrams but for loops the problem is virtual gluons

like for photons,  $\exists$  two unphysical polarizations in basis  $p = (k, 0, 0, k)$ : [physical:  $p \cdot \epsilon = 0$ ]

"temporal"  $\epsilon_{\mu}^{(T)} = (1, 0, 0, 0)$  & "longitudinal"  $\epsilon_{\mu}^{(L)} = (0, 0, 0, 1)$

in QED:  $\epsilon_{\mu}^{(T)}$  &  $\epsilon_{\mu}^{(L)}$  contributions cancel in each loop ( $\partial_{\mu} j^{\mu} = 0$ )

in QCD: unphysical virtual gluon polarizations don't cancel ( $\partial_{\mu} j^{\mu} \neq 0$ )

2 ways out  $\left\{ \begin{array}{l} \text{Lorentz-noninv. gauge} \rightarrow \text{transverse gluon prop} \rightarrow \text{complicated} \\ \text{Lorentz-inv. gauge} \rightarrow \text{add "ghost fields" to compensate} \\ \text{(Faddeev \& Popov 1967)} \end{array} \right.$

# Asymptotic freedom & confinement

• remember Lecture 5:

effective strong coupling  $g^2(\mu)$  <sup>energy scale</sup> decreases with energy  $\mu$

→ at high energies, small coupling → perturbation theory works

"asymptotic freedom": quarks quasi-free, jet production

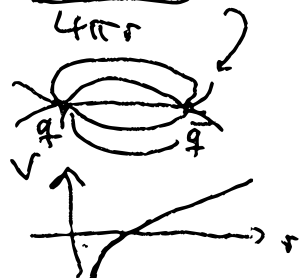
• low-energy behavior:  $g^2$  large ( $\rightarrow \infty$  at  $\mu \rightarrow \Lambda_{\text{QCD}}$ )  
 [but one-loop approx. fails there, pert. th. breaks at  $\mu \lesssim \frac{1}{2} \Lambda_{\text{QCD}}$ ]

• heavy quark-antiquark pair: consider  $t\bar{t}$  bound state  
 at small distance  $r \ll \Lambda_{\text{QCD}}^{-1}$  attraction by virtual one-gluon exchange

↳ Coulomb-like potential  $V_{q\bar{q}}(r) = -c_F \frac{g^2(\mu \sim 1/r)}{4\pi r}$   
 with  $c_F \mathbb{1} = -t_a t_a = \frac{4}{3} \mathbb{1}$

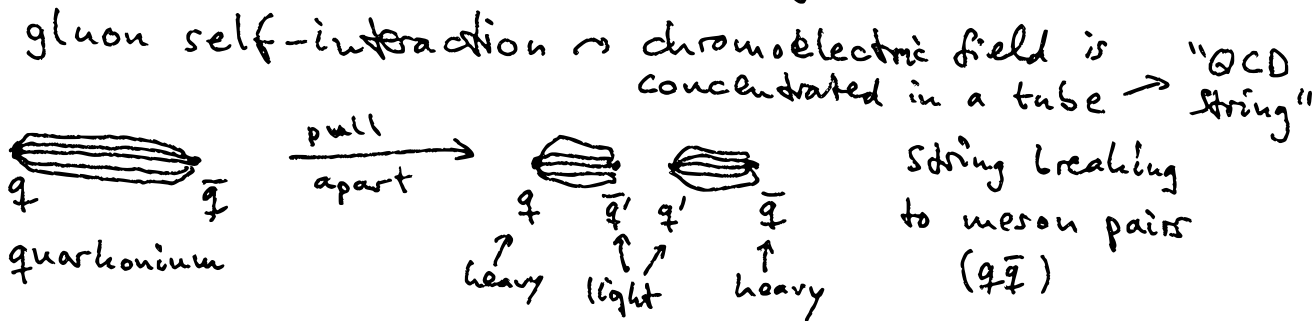
at larger distances  $r \gtrsim \Lambda_{\text{QCD}}^{-1}$  other exchanges relevant

↳ Coulomb formula no longer valid  
 conjecture: linear growth of  $V$  (combine in "funnel potential")  
 $V_{q\bar{q}}(r) \sim \sigma r, \sigma > 0$



- unfortunately, we cannot even prove that  $V_{q\bar{q}}(r \rightarrow \infty) = \infty$   
(confinement: no colored asymptotic states)

- heuristic justification for linear growth:



estimate & fits  $\rightarrow$  constant linear string tension  $\sigma \approx 0.9 \frac{\text{GeV}}{\text{fm}}$

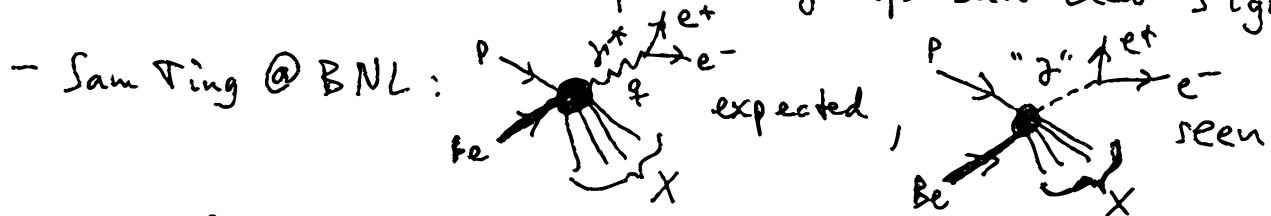
- heavy quarkonium =  $q\bar{q}$  + gluon string is color-neutral  
for heavy quarks most energy is in quark masses  $\rightarrow m_{\psi\psi} \approx 2m_\psi$   
for lighter quarks significant energy is in gluon tube  $\rightarrow m_{u\bar{u}} \gg 2m_u$



# November revolution & quarkonium

- historical highlight: sudden paradigm change by striking experimental evidence

11 Nov 1974: 2 independent groups saw clear signal



- Burton Richter @ SLAC:  $e^+e^- \rightarrow e^+e^-$ , seen:  $e^+e^- \rightarrow \psi \rightarrow e^+e^-$

same sharp peak at invariant mass<sup>2</sup>  $M_{e^+e^-}^2 = (p_+ + p_-)^2 \approx 3.1 \text{ GeV}^2$

$\rightarrow$  at this energy a resonance occurs:  $\frac{1}{(p_+ + p_-)^2} \rightarrow \frac{1}{q^2 - m_{\psi/4}^2}$

finite width  $\Gamma$  of peak due to experimental imprecision

intrinsic width  $\Gamma \sim \frac{\hbar}{\tau}$  ( $\Delta E \cdot \Delta t \sim \hbar$ ) gave  $\Gamma_{\psi/4} \approx 90 \text{ keV}$

$\rightarrow$  simultaneous indep. discovery  $\rightarrow$  Nobel prize 1976

$\rightarrow$  only particle with a double name "long"  $\tau \approx 7 \cdot 10^{-21} \text{ s}$

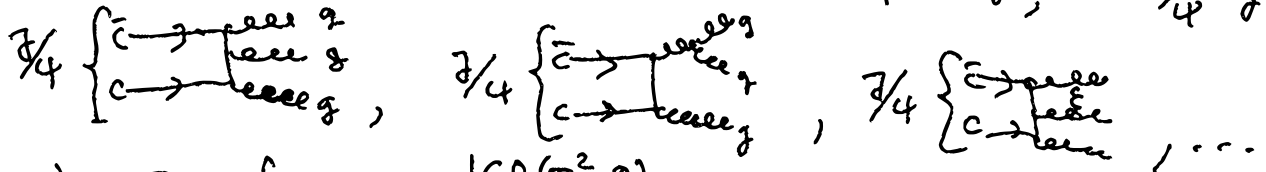
• what was so important about the  $J/\psi$ ?

$J/\psi$  is a special long-lived hadron, not made of  $u, d$  or  $s$   
 $\rightarrow$  a bound state of a new quark ( $c$ ) with its antiquark ( $\bar{c}$ )  
 first experimental verification of heavy quarkonium

• properties of  $J/\psi$

spin 1  $\rightarrow$  "ortho charmonium"  $|c\bar{c}\rangle \times |\uparrow\uparrow\rangle \times |\text{color}\rangle$

decays:  $\zeta$  conjugation inv. forbids  $J/\psi \rightarrow gg$ , hence  $J/\psi \rightarrow ggg$



$$\tau^{-1} = \Gamma = \int d\omega = \frac{160(\pi^2 - 9)}{81m^2} \alpha_s^3 |\psi(0)|^2 + O(\alpha_s^4)$$

$$[\alpha_s = \frac{g^2}{4\pi}]$$

model after  $e^+e^- \rightarrow q\bar{q}$

Small since  $\alpha_s(3\text{ GeV}) \approx 0.25$

$\rightarrow \Gamma$  fits with experiment

• paracharmonium:  $|c\bar{c}\rangle \times |\uparrow\downarrow\rangle \times |\text{color}\rangle = \eta_c \rightarrow gg$   
 $m \approx 2.98 \text{ GeV}$  ( $m_{J/\psi} - m_{\eta_c}$  = hyperfine splitting), in  $J/\psi \rightarrow \eta_c \gamma$   
 $\Gamma \sim \alpha_s^2 \approx 30 \text{ MeV} \gg \Gamma_{J/\psi}$

- charmonium spectroscopy  
in analogy with  $e^+e^-$  or hydrogen, many excited states  $c\bar{c}$   
most observed in experiment  $\rightarrow$  spectroscopic quantum #s  
above  $m \approx 3.75$  GeV the widths are suddenly larger:

above threshold for decay  $(c\bar{c}) \rightarrow (c\bar{q})(q\bar{c})$   
into D-mesons (charmed mesons)

$\uparrow \uparrow$   
u or d

$\hookrightarrow$  have  $m_D \approx 1.87$  GeV

soon above  $\sim 4$  GeV spectrum becomes continuous  $\nearrow$

$\frac{M^2}{2m_D} \rightarrow m^2$

- repetition for bottomonium (& probably toponium)

$b\bar{b} = \Upsilon$  with  $m_\Upsilon \approx 9.46$  GeV at Fermilab 1977 Leon Lederman

- why the excitement in 1974?

- quarks were hypothetical, their existence was not accepted
- confinement explanation for non-observation seemed strange
- but theoretical discovery of asymptotic freedom became known
- charmonium spectrum had a natural interpretation via  $c\bar{c}$  bound states

$\Rightarrow$  today, no more doubts that quarks exist and are described by QCD